

# Road Map to EPSDT PIP

August 21, 2008



Training Webinar Follow-Up and Q&A  
“WEBINAR” Conference Call

# Training Presenters

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California Department of Mental Health  
(DMH)

Caroline Castaneda

# Training Presenters

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California Mental Health Directors Association

2125 19th Street Sacramento, CA 95818 tel 916/556-3477 fax 916/446-4519

Uma Zykovsky,  
Sacramento County

# Training Presenters

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California Alliance of Child and  
Family Services (CACFS)

Thomas M. Sodergren

# Training Presenters

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**Suzanne Tavano, BSN, Ph.D**  
**Deputy Director of Mental Health**  
**Mental Health Administration**  
**Contra Costa County**



# Recent Activities

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- 8,400 total visits to EPSDT Statewide Performance Improvement Project (PIP) webpage.
- Draft Information Notice to be circulated with Design and Implementation Group prior to targeted release date of August 29, 2008
- Weekly Design and Implementation Group Meetings
- Weekly updates posted on website
- Data Reporting Checklist posted on DMH Website
- Discussion about inclusion of TBS in Study Group

# PART I

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## “Roadmap to a PIP”

### Webinar Review of the Draft Study Question

August 21, 2008



# Questions?

- *E-mail:*  
[EPSDT.PIP@  
dmh.ca.gov](mailto:EPSDT.PIP@dmh.ca.gov)





# Problem Definition

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**Statewide:** Approved EPSDT claims data for FY 2006-07 shows that the 3% of EPSDT clients with the highest average monthly claims account for 25.5% of total annual EPSDT spending. While it is reasonable to expect that this highest-cost-of-service cohort includes clients with severe conditions that justify higher average monthly costs, a review of client specific services received by a sample drawn from this cohort often include a complex pattern of use that raises questions about service levels, array of services, possible gaps in service, and multi-system involvement. Studies identified by the Department of Mental Health of other pediatric health care system highest-cost-of-service cohorts suggest that the cost and complexity of these EPSDT services could indicate a need for improved coordination, enhanced capacity, and other improvements to ensure that each child is receiving services that are indicated, effective, and efficient, at the levels being provided. DMH has consulted with representatives from the California Mental Health Directors Association, the County Welfare Directors Association, the California Council of Community Mental Health Agencies, and the California Alliance of Child and Family Services on the concepts of this proposal as they relate to addressing quality, effectiveness and efficiency of service delivery to children.



# Data Description

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- **Statewide:** EPSDT claims data used in developing this proposal consists of FY 2006-07 approved claims data received as of March 2008; the most current EPSDT claims data available at this time. The Medi-Cal claims file for this period included claims for ~183,892 clients totaling ~\$949,967,324. MHPs, in collaboration with their providers, are responsible for the identification and collection of relevant data such as clinical data derived from chart reviews, billing/reporting data, treatment service factors, etc., and continuing data exchange and reporting to the Department of Mental Health to inform, measure and continuously improve services to children and their families .



# Study Question

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- **Statewide:** Will implementing activities such as, but not limited to: increased utilization management, care coordination activities and a focus on the outcomes of interventions lead to enhanced quality, effectiveness and/or efficiency of service delivery to children receiving EPSDT funded mental health services?

# ***Start your Local Process!!!***

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# (Q&A)

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# ROAD MAP TO AN EPSDT PIP



California Mental Health Directors Association

2125 19th Street Sacramento, CA 95818 tel 916/556-3477 fax 916/446-4519



## Part II

Provider Perspectives



# **SACRAMENTO COUNTY EPSDT PIP BRAINSTORMING SESSION**

**AUGUST 21, 2008**

# Stakeholders

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- ❑ Family Advocate
- ❑ Stanford Home
- ❑ River Oak
- ❑ Turning Point Community Programs
- ❑ Sacramento County (Access, Children's Programs, Contract, MHSA, Research & Evaluation and Quality Management)



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**This EPSDT PIP Committee is a work-in-progress. This was a first Quality Improvement Committee EPSDT PIP meeting to meet and have a brainstorming session regarding the anticipated Statewide Performance Improvement Project (PIP) for EPSDT. Below you will find ideas that participants proposed as possibilities to consider when designing the local PIP effort.**

# Ideas


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- ❑ Do high cost services disproportionately represent youth living residential or group home placements? Is the menu of services medically necessary and individualized to client need?

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❑ Is there a subset of youth involved in Alta Regional Center, Child Protective Services Dependents (300), and Juvenile (600) who may need a different menu of services?

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- ❑ What types of service gaps can be identified by looking at specific case studies? Were these kids provided Wraparound services the year prior?



❑ What variables make youth require more intensive services? Trauma history? Age? Gender, etc?

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- ❑ Are EPSDT dollars used when other services fail or step back? Is service/partner pattern reduced when TBS is involved?

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- ❑ What is content/type of service?  
Clinical, rehabilitation, case management, crisis, planned/unplanned? How does this tie back to the treatment plan?

# Data to Review

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- ❑ What are service patterns and outcomes?
- ❑ What does review of local level data show?



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- ❑ Need for timely review of data to change level of service pattern; do high user kids show a similar service pattern use?

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- ❑ Are first time clients coming first through crisis/emergency unplanned service door?
  - ❑ What does data show?

# Youth who turn 18

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- ❑ Historical information does not follow youth
- ❑ Does lack of historical information result in reinventing services that don't meet client needs

# Dual Diagnosis Youth

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- ❑ What is the component related to dual diagnosis?
- ❑ How are we addressing dual diagnosis youth?

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- ❑ Are dual diagnosis youth receiving the right mix of services?
  - ❑ What is overlap with probation?



**Thomas M. Sodergren**  
**Assistant Director of Community**  
**Based Services**  
**Casa Pacifica – Santa Barbara County**  
**Division**

# **Contra Costa County Data Collection and Reporting August 21, 2008**



# InSyst Client Demographic Data

SmarTerm Office - [PSP.stw]

File Edit View Tools Properties Connection Window Help

Client Look-up

Client Number:  Maintenance Type: L Last Changed: 23-APR-2008

First:  Middle:

Generation:  Birthdate:  Sex: M

CIN:

Education: 9 Other Factors: 0 Other ID: 0

Disability: 0 Service Group: Local Code: y

Language: A A Primary RU: 07918 Program Code:

Ethnicity: A Chart Location: 07918 Research Item:

Hispanic Origin: N Ref. Staff ID: 1057

Marital Status: 1 Care Giver Under 18: 0 18+: 0

Family Size: 0

Annual Income: 0 Client UR Needed:

Aliases	Last	First	Middle
	<input type="text"/>		

Continue: ☐ Confidential Information USER: WILLIAMS\_T

Input required

PSP.stw Digital VT s...

Connected to Clyde

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## InSyst Episodes (RU, Open, Close, Clinician, Physician)

SmarTerm Office - [PSP.stw]

File Edit View Tools Properties Connection Window Help

Episode Maintenance Selection

Client Number:

Reporting Unit: (Optional)

Opening Date: / / (Optional)

Reporting Unit	Opening Date	Closing Date	Clinician	Physician
<input checked="" type="checkbox"/> SNCA OAK AB 97269	04-Aug-2008			
SNCA OP AB36 97270	04-Aug-2008			
MED PAVILLON 34131F	06-Jul-2008	12-Jul-2008		
MRT AB3632 97261	02-Jul-2008	28-Jul-2008		
MTZ CR STABL 07952	15-Jun-2008	15-Jun-2008		
HSP/R-AB3632 07117	06-Jun-2008			

Confidential Information

USER: WILLIAMS\_T

Enter L for Lookup, U for Update, or D for Delete.

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Start F... In... S... W... A... In... J... W... Mi... In... I... 4:43 PM

## InSyst Services Entry (Date, RU, Procedure, Therapist, Time)

SmarTerm Office - [PSP.stw]

File Edit View Tools Properties Connection Window Help

Service Maintenance Selection

Client Number:

Reporting Unit:

Service Date: / /

Service Date	Reporting Unit	Procedure	Therapist	Time HH:MM	Service Cost
30-Jul-2008	HSP/R-AB3632	07117	CM LINKAGE	561	04:40 688.80
24-Jul-2008	HSP/R-AB3632	07117	CM LINKAGE	561	04:40 688.80
18-Jul-2008	HSP/R-AB3632	07117	EVALUATION	313	00:55 174.35
18-Jul-2008	HSP/R-AB3632	07117	CM LINKAGE	561	02:35 381.30
15-Jul-2008	HSP/R-AB3632	07117	CM LINKAGE	561	04:20 639.60
07-Jul-2008	HSP/R-AB3632	07117	CM LINKAGE	561	03:30 516.60

6 services displayed.

Confidential Information

USER: WILLIAMS\_T

PSP.stw Digital VT s...

Connected to Clyde

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# InSyst Face Sheet Report

## Client Information Face Sheet

Report MHS 140  
Run Date: 20-AUG-2008

Page: 1

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### CONSUMER INFORMATION

Name:	Number:	Birthdate:	Age: 16
Address:	SSN:	Sex: M	
PITTSBURG, CA 94565	Other ID #: 0	Language: English	
Phone: (925)	Marital: Nvr Marr	Education: Grade 9	
Staff:	Disability: None	Ethnicity: White	Hispanic Origin: No
Aliases:			
RP Owes: \$0.00	Medicaid:	Last Eligibility: 8/2008	
Insurance: None			

### SIGNIFICANT OTHERS

Name	Relation	Home Phone	Work Phone	Address	Emergency
			( ) -	ORD, CA 94521	X
			( ) -	PITTSBURG, CA 94565	X

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### CLINICAL HISTORY

RU	Opening	Closing	Primary Diagnosis	Clinician	Physician	Total Units	Last Service	Legal Status	Legal Consent
-----OPEN EPISODES-----									
SNCA OP AB36	4-AUG-2008		296.89			0		W60000	NA
SNCA OAK AB	4-AUG-2008		296.89			0		W60000	NA
HSP/R-AB3632	6-JUN-2008		296.23		STAFF, GENERAL	8	6-AUG-2008	W60000	Unknown
LCH-C-FFS-OP	21-APR-2008		296.89		STAFF, GENERAL	5	24-JUL-2008	W60000	NA
CHEIM ANT AB	21-APR-2008		296.89		STAFF, GENERAL	45	31-JUL-2008	W60000	NA
KOKA - NETPR	6-APR-2008		799.9		E STAFF, GENERAL	3	10-APR-2008	W60000	Unknown
JONG YOON NP	1-JAN-2008		799.9	NETWORK, PROVIDE	STAFF, GENERAL	2	3-FEB-2008	W60000	NA
ANZAI- NP	1-DEC-2007		799.9	NETWORK, PROVIDE	STAFF, GENERAL	6	5-FEB-2008	W60000	NA
EAST AB3632	22-AUG-2007		296.23		STAFF, GENERAL	127	5-AUG-2008	W60000	Unknown
YTH HOME-TBS	30-JUL-2007		296.23		STAFF, GENERAL	224	28-JUL-2008	W60000	Depend Crt
GRIMLEY-NETP	1-JAN-2007		799.9	NETWORK, PROVIDE	STAFF, GENERAL	52	29-MAY-2008	W60000	NA
-----CLOSED EPISODES-----									
MFD PAVILLON	6-JUL-2008	12-JUL-2008	296.63	MANAGEDCARE, CCC	STAFF, GENERAL	0		W60000	Unknown

# InSyst Insurance Claim Screen

## Insurance Claim Maintenance Selection

Client Number:

Account Number:

Eligibility #:

Service Range: 07/2007 - 6/2008

Insurance		Medicare	Ins/Medi		Client	X Medicaid	
Company	Reporting Unit	Mo/Year	Submitted Amount	Date	Received Amount	Date	Claim Status
Medi-Cal		07/2007	\$618.15	21-Aug-2007	\$522.60	09-Sep-2007	Paid
Medi-Cal		07/2007	\$461.12	21-Aug-2007	\$388.76	09-Sep-2007	Paid
Medi-Cal		07/2007	\$1950.00	20-Dec-2007	\$1950.00	17-Feb-2008	Paid
Medi-Cal		07/2007	\$6337.26	21-Aug-2007	\$5341.76	09-Sep-2007	Paid
Medi-Cal		08/2007	\$2499.20	20-Sep-2007	\$2014.20	01-Oct-2007	Paid
Medi-Cal		08/2007	\$34.44	20-Sep-2007	\$29.12	01-Oct-2007	Paid

# Client Services by Reporting Unit (July 1, 2007 to June 30, 2008)

CLIENT XX. DOB 5/7/1992

(Data is imported into Excel from InSyst tables stored on a SQL server. Subtotals tool in Excel is used for drill down to service level detail on next slide)

B	C	D	E	F	G	H	I	J
Type-in Search Parameters		Date range of services available for reporting:						
		From 12/31/1999 to 08/05/2008						
MR#								
Date From:	7/1/2007	Search		Clear				
Date To:	6/30/2008							
RU#								
xxxxxx DOB: 05/07/1992								
Provider	Svc Date	Prim. Staff Mins	Co-Staff Mins	Prim. Therapist	Co-therapist	Code	Procedure	Cost of Svc.
CENTL AB3632 Total		20	0					\$63.40
HSP/R-AB3632 Total		9,263	0					\$22,870.05
SNCA-OAKG-OP Total		15,484	59					\$49,423.45
SNCA-OAK GRV Total		35,100	0					\$32,086.60
SNCA OP AB36 Total		6,884	0					\$22,211.08
SNCA OAK AB Total		27,810	0					\$25,422.46
Grand Total		94,561	59					\$152,077.04

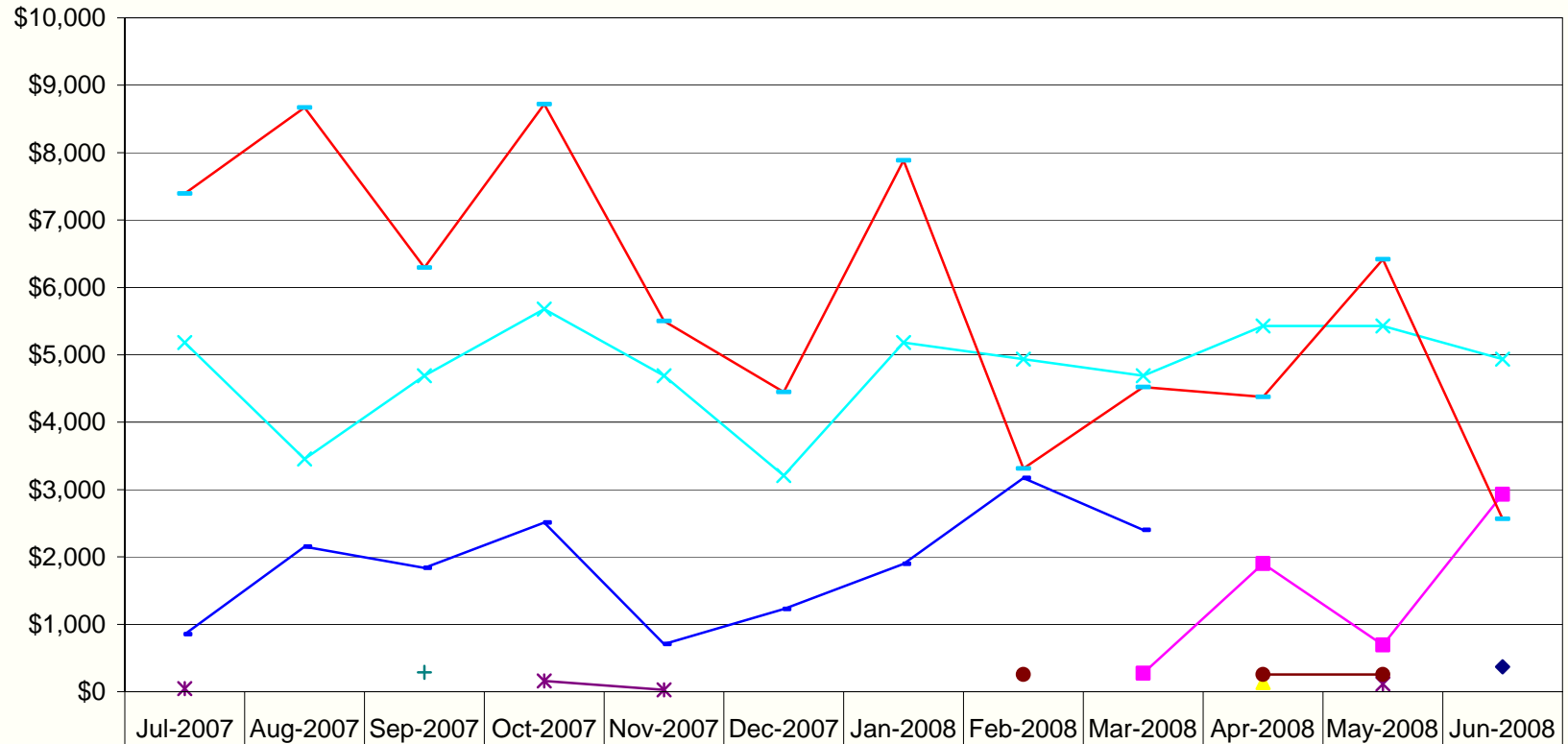
# Client Services by Reporting Unit (July 1, 2007 to June 30, 2008)

CLIENT XX. DOB 5/7/1992

(Subtotals tool in Excel is used for drill down to service level detail. Can be sorted and filtered by provider, service date, therapist, procedure code, cost, etc.)

	A	B	C	D	E	F	G	H	I	J
1		Type-in Search Parameters			Date range of services available for reporting:					
2					From 12/31/1999 to 08/05/2008					
3		MR#								
4		Date From:	7/1/2007		Search	Clear				
5		Date To:	6/30/2008							
6		RU#								
7										
8		xxxxxx DOB: 05/07/1992								
9										
10	RU	Provider	Svc Date	Prim. Staff Mins	Co-Staff Mins	Prim. Therapist	Co-therapist	Code	Procedure	Cost of Svc.
11	07217	CENTLAB3632	10/9/2007	20	0			315	PLAN DEVEL	\$63.40
12		CENTLAB3632 Total		20	0					\$63.40
13	07117	HSP/R-AB3632	7/16/2007	77	0			571	PLAN DEVEL	\$189.42
14	07117	HSP/R-AB3632	7/18/2007	168	0			571	PLAN DEVEL	\$413.28
15	07117	HSP/R-AB3632	7/25/2007	102	0			571	PLAN DEVEL	\$250.92
16	07117	HSP/R-AB3632	8/20/2007	172	0			571	PLAN DEVEL	\$423.12
17	07117	HSP/R-AB3632	8/23/2007	123	0			571	PLAN DEVEL	\$302.58
18	07117	HSP/R-AB3632	8/29/2007	114	0			571	PLAN DEVEL	\$280.44
19	07117	HSP/R-AB3632	8/30/2007	328	0			571	PLAN DEVEL	\$806.88
20	07117	HSP/R-AB3632	8/31/2007	138	0			571	PLAN DEVEL	\$339.48
21	07117	HSP/R-AB3632	9/7/2007	74	0			571	PLAN DEVEL	\$182.04
22	07117	HSP/R-AB3632	9/11/2007	348	0			571	PLAN DEVEL	\$856.08
23	07117	HSP/R-AB3632	9/12/2007	111	0			571	PLAN DEVEL	\$273.06
24	07117	HSP/R-AB3632	9/18/2007	151	0			571	PLAN DEVEL	\$371.46
25	07117	HSP/R-AB3632	9/25/2007	61	0			571	PLAN DEVEL	\$154.98

# Service patterns over time by procedure code and cost



◆ ASSESSMENT												\$371
■ CM PLACE								\$276	\$1,899	\$694	\$2,930	
▲ COLLATERAL									\$136			
✕ DT IN FULL	\$5,183	\$3,455	\$4,690	\$5,677	\$4,690	\$3,209	\$5,183	\$4,936	\$4,690	\$5,430	\$5,430	\$4,936
✱ GRP REHAB	\$44			\$159	\$25						\$114	
● MED - EDUC								\$256		\$256	\$256	
+ MED-EVL/RX			\$288									
— PLAN DEVEL	\$854	\$2,153	\$1,838	\$2,511	\$708	\$1,228	\$1,897	\$3,173	\$2,403			
— REHAB SUPP	\$7,392	\$8,670	\$6,292	\$8,718	\$5,500	\$4,448	\$7,887	\$3,313	\$4,520	\$4,375	\$6,419	\$2,565

# NEXT STEPS....

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- ❑ *Next Design and Implementation Group Meeting: August 25, 2008 – Conference Call*
- ❑ *Information Notice release August 29, 2008*
- ❑ *Next Stakeholder Meeting: September 4, 2008 – Conference Call*
- ❑ *Start your Local Discussions*
- ❑ *Please send suggestions for the agenda to [EPSDT.PIP@dmh.ca.gov](mailto:EPSDT.PIP@dmh.ca.gov)*



# Open Discussion (Q&A)

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# Contacts

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- California Department of Mental Health (DMH)
  - Rita McCabe, LCSW
  - Sophie Cabrera
  - Caroline Castaneda
- APS Healthcare – California External Quality Review (CAEQRO)
  - Sheila Baler, Ph.D., M.P.H.
  - Sandra Sinz
  - Saumitra SenGupta



# Contacts

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- California Mental Health Directors Association (CMHDA)
  - Don Kingdon, Ph.D.
- California Council of Community Mental Health Agencies (CCCMHA)
  - Harriet Markell
- California Alliance of Child and Family Services (CACFS)
  - Nicette Short, MPA



# PIP Contact Information

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- *Website:*

- [http://www.dmh.ca.gov/Services\\_and\\_Programs/Medi\\_Cal/EPSTD\\_Statewide\\_PIP.asp](http://www.dmh.ca.gov/Services_and_Programs/Medi_Cal/EPSTD_Statewide_PIP.asp)

- *E-mail:*

- [EPSTD.PIP@dmh.ca.gov](mailto:EPSTD.PIP@dmh.ca.gov)



*Thank You*



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